Apple Transparency Report: Government and Private Party Requests

January 1 - June 30, 2020

Introduction

Apple is very seriously committed to protecting your data and we work hard to deliver the most secure hardware, software and services available. We believe our customers have a right to understand how their personal data is managed and protected. This report provides information regarding requests Apple received from government agencies worldwide and U.S. private parties from January 1 through June 30, 2020.

Types of requests we receive

Apple receives various forms of legal requests seeking information from or actions by Apple. We receive requests from governments globally where we operate and from private parties.

Government request circumstances can vary from instances where law enforcement agencies are working on behalf of customers who have requested assistance regarding lost or stolen devices, to instances where law enforcement are working on behalf of customers who suspect their credit card has been used fraudulently to purchase Apple products or services, to instances where an account is suspected to have been used unlawfully. Requests can also seek to preserve an Apple account, restrict access to an Apple account or delete an Apple account. Additionally, requests can relate to emergency situations where there is imminent harm to the safety of any person.

Private party request circumstances generally relate to instances where private litigants are involved in either civil or criminal proceedings.

Types of legal requests Apple receives from the United States can be: subpoenas, court orders, search warrants, pen register/trap and trace orders, or wiretap orders.

Types of legal requests Apple receives internationally can be: Production Orders (Australia, Canada, New Zealand), Requisition or Judicial Rogatory Letters (France), Solicitud Datos (Spain), Ordem Judicial (Brazil), Auskunftsersuchen (Germany), Obligation de dépôt (Switzerland), 個人情報の開示依頼 (Japan), Personal Data Request (United Kingdom), as well as equivalent court orders and/or requests from other countries

The restrictions imposed by the sanctions laws generally prohibit Apple from responding to requests from countries, territories or governments sanctioned by the U.S. Department of Treasury, with the exception of requests involving exempt informational material or where prior authorization has been secured.

Types of customer data sought in requests

The type of customer data sought in requests varies depending on the case under investigation. For example, in stolen device cases, law enforcement generally seek details of customers associated with devices or device connections to Apple services. In credit card fraud cases, law enforcement generally seek details of suspected fraudulent transactions. Depending on what the legal request asks, Apple will provide subscriber or transaction details in response to valid legal requests received.

In instances where an Apple account is suspected of being used unlawfully, law enforcement may seek details of the customer associated with the account, account connections or transaction details or account content. Any U.S. government agency seeking customer content data from Apple must obtain a search warrant issued upon a showing of probable cause. International requests for content must comply with applicable laws, including the U.S. Electronic Communications Privacy Act (ECPA). A request under a Mutual Legal Assistance Treaty or Agreement with the U.S. is in compliance with ECPA.

The type of customer data sought in emergency situations generally relates to details of customers' connection to Apple services. We have a dedicated team available around the clock to respond to emergency requests. Apple processes emergency requests from law enforcement globally on a 24/7 basis. An emergency request must relate to circumstances involving imminent danger of death or serious physical injury to any person. If Apple believes in good faith that it is a valid emergency, we may voluntarily provide information to law enforcement on an emergency basis.



How we manage and respond to requests

Apple has a centralized and standardized process for receiving, tracking, processing, and responding to legal requests from law enforcement, government, and private parties worldwide, from when a request is received until when a response is provided.

Government and private entities are required to follow applicable laws and statutes when requesting customer information and data. We contractually require our service providers to abide by the same standard for any government information requests for Apple data. Our legal team reviews requests received to ensure that the requests have a valid legal basis. If they do, we comply with the requests and provide data responsive to the request. If we determine a request does not have a valid legal basis, or if we consider it to be unclear, inappropriate and/or over-broad, we challenge or reject it.

How we count requests and responses

Apple counts requests received from government agencies worldwide and United States private parties within the reporting period in which they are received. Overall numbers of requests and responses are reported.

A request with a valid legal basis is processed and responded to, and is counted as one request. A request that is challenged/rejected is counted as one request. Where new legal process is submitted to amend the request, it is counted as a new request. We count each request we challenge or reject for account-based, account restriction/deletion, emergency and private party requests; and report these numbers accordingly.

We count the number of discernible devices, financial identifiers, and/or accounts specified in requests, and report these accordingly by type. If there are two identifiers for one device in a request, for example a serial number and IMEI number, we count this as one device. If there are multiple identifiers for one account in a request, for example Apple ID, full name and phone number, we count this as one account.

For United States Government Requests by Legal Process Type reporting, where two types of legal process are combined in a single request, such as a search warrant with an incorporated court order, we record the request at the highest level of legal process and the request would be reported as a search warrant. An exception is where a pen register/trap and trace order is received; this is counted as a pen register/trap and trace order, notwithstanding that it may include a search warrant.

How we report requests and responses

We report on requests and responses in the following categories:

- 1) Worldwide Government Device Requests
- 2) Worldwide Government Financial Identifier Requests
- 3) Worldwide Government Account Requests
- 4) Worldwide Government Account Preservation Requests
- 5) Worldwide Government Account Restriction/Deletion Requests
- 6) Worldwide Government Emergency Requests
- 7) United States Government National Security Requests
- 8) United States Government Device Requests by Legal Process Type
- 9) United States Government Financial Identifier Requests by Legal Process Type
- 10) United States Government Account Requests by Legal Process Type
- 11) United States Private Party Requests for Information
- 12) United States Private Party Requests for Account Restriction/Deletion
- 13) Worldwide Government App Store Takedown Requests Legal Violations
- 14) Worldwide Government App Store Takedown Requests Platform Policy Violations

For government agency requests for customer information and data, we report the numbers of requests we receive and our responses in various categories. For United States National Security requests for customer information and data, we report as much detail as we are legally allowed. In order to report FISA non-content and content requests in separate categories, Apple is required by law to delay reporting by 6 months and report the numbers in ranges of 500, pursuant to the USA FREEDOM Act of 2015.

Customer notification

When we receive an account request seeking our customers' information and data, we notify the customer that we have received a request concerning their personal data except where we are explicitly prohibited by the legal process, by a court order Apple receives, or by applicable law. We reserve the right to make exceptions, such as instances where we believe providing notice creates a risk of injury or death to an identifiable individual, or where the case relates to child endangerment, or where notice is not applicable to the underlying facts of the case.



Table 1: Worldwide Government Device Requests January 1 - June 30, 2020

Table 1 provides information regarding device-based requests received. Examples of such requests are where law enforcement agencies are working on behalf of customers who have requested assistance regarding lost or stolen devices. Additionally, Apple regularly receives multi-device requests related to fraud investigations. Device-based requests generally seek details of customers associated with devices or device connections to Apple services.

Country or Region ¹	# of Device Requests Received	# of Devices Specified in the Requests	# of Device Requests Where Data Provided	% of Device Requests Where Data Provided
Asia Pacific				
Australia	918	2,398	440	48%
China mainland	910	13,243	851	94%
Hong Kong	48	84	9	19%
Japan	1,427	4,899	1,253	88%
Macau	3	5	2	67%
Malaysia	1	1	1	100%
New Zealand	34	53	11	32%
Singapore	735	778	632	86%
South Korea	61	2,948	48	79%
Taiwan	110	292	65	59%
Thailand	5	5	1	20%
Asia Pacific Total	4,252	24,706	3,313	78%
Europe, Middle East, India, Africa	4,202	24,700	3,010	70.0
Andorra	28	28	18	64%
Austria	132	202	3	2%
Belarus	1	1	1	100%
Belgium	120	352	98	82%
Czech Republic	42	69	38	90%
Denmark	7	1,076	3	43%
	2			
Estonia	10	2 67	1 5	50%
Finland				50%
France	695	1,574	456	66%
Germany	13,021	19,633	10,568	81%
Greece	28	48	19	68%
Hungary	50	4,059	37	74%
India	57	158	42	74%
Ireland	94	616	79	84%
Israel	2	2	0	0%
Italy	208	457	24	12%
Liechtenstein	1	1	1	100%
Lithuania	1	1	0	0%
Luxembourg	2	2	1	50%
Malta	1	1	0	0%
Moldova	1	7	0	0%
Netherlands	50	202	34	68%
North Macedonia	1	2	0	0%
Norway	8	12	3	38%
Poland	36	49	27	75%
Portugal	103	141	66	64%
Romania	4	39	4	100%
Russia	1,055	1,671	884	84%
Slovenia	9	40	9	100%
South Africa	21	924	18	86%
Spain	965	1,856	763	79%
Sweden	180	4,889	168	93%
Switzerland	202	459	163	81%
Turkey	8	13	1	13%
United Kingdom	564	1,075	462	82%
Europe, Middle East, India, Africa Total	17,709	39,728	13,996	79%
Latin America				
Argentina	1	1	0	0%
Brazil	1,542	9,212	1,315	85%
Chile	109	149	92	84%
Ecuador	1	1 1	0	0%
Latin America Total	1,653	9,363	1,407	85%
North America	1,000	5,303	1,407	00%
Canada	18	129	15	83%
Mexico	3	3	0	0%
United States of America	4,641	97,439	3,790	82%
North America Total	4,662	97,439	3,805	82%
Worldwide Total	28,276	171,368	22,521	80%

¹Only countries / regions where Apple received device requests during report period January 1 - June 30, 2020, are listed.



of Device Requests Received

The number of device-based requests received from a government agency seeking customer data related to specific device identifiers, such as serial number or IMEI number. Requests can be in various formats such as subpoenas, court orders, warrants, or other valid legal requests. We count each individual request received from each country/region and report the total number of requests received by country/region.

of Devices Specified in the Requests

The number of devices specified in the requests. One request may contain one or multiple device identifiers. For example, in a case related to the theft of a shipment of devices, law enforcement may seek information related to several device identifiers in a single request. We count the number of devices identified in each request, received from each country/region, and report the total number of devices specified in requests received by country/region.

of Device Requests Where Data Provided

The number of device-based requests that resulted in Apple providing data, such as customers associated with devices, device connections to Apple services, purchase, customer service, or repair information, in response to a valid legal request. We count each device-based request where we provide data and report the total number of such instances by country/region.

% of Device Requests Where Data Provided

The percentage of device-based requests that resulted in Apple providing data. We calculate this based on the number of device-based requests that resulted in Apple providing data per country/region, compared to the total number of device-based requests Apple received from that country/region.



Table 2: Worldwide Government Financial Identifier Requests January 1 - June 30, 2020

Table 2 provides information regarding financial identifier-based requests received. Examples of such requests are where law enforcement agencies are working on behalf of customers who have requested assistance regarding suspected fraudulent credit card activity used to purchase Apple products or services. Financial identifier-based requests generally seek details of suspected fraudulent transactions.

Country or Region ¹	# of Financial Identifier Requests Received	# of Financial Identifiers Specified in the Requests	# of Financial Identifier Requests Where Data Provided	% of Financial Identifier Requests Where Data Provided
Asia Pacific				
Australia	75	201	32	43%
China mainland	39	2,017	22	56%
Hong Kong	237	535	74	31%
Japan	166	1,292	134	81%
Macau	11	28	9	82%
Malaysia	1	1	1	100%
New Zealand	4	4	3	75%
Singapore	89	617	64	72%
South Korea	20	20	15	75%
Taiwan	255	289	238	93%
Asia Pacific Total Europe, Middle East, India, Africa	897	5,004	592	66%
Austria	24	71	0	0%
Belgium	11	29	7	64%
Czech Republic	25	47	17	68%
Denmark	6	6	1	17%
Estonia	1	1	0	0%
Finland	3	30	1	33%
France	360	1,117	245	68%
Germany	554	3,991	431	78%
Greece	3	3	1	33%
Hungary	7	7	5	71%
India	250	253	132	53%
Ireland	18	87	13	72%
Italy	105	222	6	6%
Kazakhstan	1	1	0	0%
Lithuania	1	1	1	100%
Luxembourg	2	200	1	50%
Moldova	1	1	0	0%
Monaco	1	2	0	0%
Netherlands	1	1	1	100%
Norway	4	4	4	100%
Poland	26	38	18	69%
Portugal	12	21	9	75%
Romania	19	19	15	79%
Russia	40	47	10	25%
Spain	543	615	361	66%
	9	10	6	
Sweden Switzerland	32	575	22	67%
				69%
Turkey	73	73	57	78%
United Arab Emirates	1	8	1	100%
United Kingdom Europe, Middle East, India, Africa Total	30 2,163	857 8,337	12	40% 64%
Latin America				
Brazil	6	6	3	50%
Costa Rica	12	12	0	0%
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Latin America Total	20	20	3	15%
North America				
Canada	9	75	9	100%
Mexico	1	1	1	100%
United States of America	621	4,824	450	72%
North America Total	631	4,900	460	73%

¹Only countries / regions where Apple received financial identifier requests during report period January 1 - June 30, 2020, are listed.



of Financial Identifier Requests Received

The number of financial identifier-based requests received from a government agency seeking customer data related to specific financial identifiers, such as credit card or gift card number. Financial identifier-based requests can be in various formats such as subpoenas, court orders, warrants, or other valid legal requests. We count each individual request received from each country/region and report the total number of requests received by country/region.

of Financial Identifiers Specified in the Requests

The number of financial identifiers specified in the requests. One request may contain one or multiple financial identifiers. For example, in a case related to large scale fraud, law enforcement may seek information related to several credit card numbers in a single request. We count the number of financial identifiers identified in each request, received from each country/region, and report the total number of financial identifiers specified in requests received by country/region.

of Financial Identifier Requests Where Data Provided

The number of financial identifier-based requests that resulted in Apple providing data, such as transaction details, in response to a valid legal request. We count each financial identifier-based request where we provide data and report the total number of such instances by country/region.

% of Financial Identifier Requests Where Data Provided

The percentage of financial identifier-based requests that resulted in Apple providing data. We calculate this based on the number of financial identifier-based requests that resulted in Apple providing data per country/region, compared to the total number of financial identifier-based requests Apple received from that country/region.



Table 3: Worldwide Government Account Requests January 1 - June 30, 2020

Table 3 provides information regarding account-based requests received. Examples of such requests are where law enforcement agencies are working on cases where they suspect an account may have been used unlawfully or in violation of Apple's terms of service. Account-based requests generally seek details of customers' iTunes or iCloud accounts, such as a name and address; and in certain instances customers' iCloud content, such as stored photos, email, iOS device backups, contacts or calendars.

Country or Region ¹	# of Account Requests Received	# of Accounts Specified in the Requests	# of Account Requests Challenged in Part or Rejected in Full	# of Account Requests Where Only Non-Content Data Provided	# of Account Requests Where Content Data Provided	% of Account Requests Where Data Provided
Asia Pacific						
Australia	177	237	31	123	0	69%
China mainland	73	145	2	59	3	85%
Hong Kong	8	13	4	4	0	50%
Japan	487	723	41	397	0	82%
New Zealand	10	29	4	6	0	60%
Singapore	29	35	5	19	0	66%
South Korea	28	31	5	22	0	79%
Taiwan	382	805	33	323	0	85%
Thailand	2	2	0	0	0	0%
Asia Pacific Total	1,196	2,020	125	953	3	80%
Europe, Middle East, India, Africa	1,190	2,020	123	900	3	8076
Andorra	1	1	0	1	0	100%
Austria	9	16	8	2	0	22%
Belgium	17	20	0	14	0	82%
Czech Republic	8	10	1	7	0	88%
Denmark	1	1	1	1	0	100%
	3	5	0	3	0	100%
Estonia	3	5	0	3	0	
Finland						100%
France	230	313	42	160	1	70%
Germany	547	800	38	420	0	77%
Greece	2	2	0	2	0	100%
Hungary	5	10	2	2	0	40%
India	34	51	9	19	0	56%
Ireland	8	9	5	2	0	25%
Israel	5	5	1	3	0	60%
Italy	43	68	27	12	0	28%
Liechtenstein	1	1	0	1	0	100%
Lithuania	1	1	0	1	0	100%
Luxembourg	2	4	1	1	0	50%
Malta	5	9	3	2	0	40%
Monaco	1	2	1	0	0	0%
Netherlands	44	147	8	25	0	57%
North Macedonia	1	2	0	1	0	100%
	4	4	0	3	0	75%
Norway	13	31	4	8	0	
Poland	2	2	0	1	0	62%
Portugal	1	1	0	1	0	50%
Romania						100%
Russia	33	66	12	19	0	58%
Spain	52	120	8	32	0	62%
Sweden	77	116	2	66	1	87%
Switzerland	22	29	1	18	1	86%
Turkey	7	7	1	6	0	86%
United Kingdom	511	580	17	449	1	88%
Europe, Middle East, India, Africa Total	1,693	2,438	192	1,285	4	76%
Latin America	1,000	0.000	07	454	004	
Brazil	1,090	8,088	27	151	801	87%
Chile	11	13	5	6	0	55%
Costa Rica	1	1	0	1	0	100%
Latin America Total	1,102	8,102	32	158	801	87%
North America						
Canada	17	28	3	16	0	94%
Mexico	3	3	3	1	0	33%
United States of America	5,861	18,609	238	2,532	2,590	87%
North America Total	5,881	18,640	244	2,549	2,590	87%
Worldwide Total	9,872	31,200	593	4,945	3,398	85%

¹Only countries / regions where Apple received account requests during report period January 1 - June 30, 2020, are listed.



of Account Requests Received

The number of account-based requests received from a government agency seeking customer data related to specific Apple account identifiers, such as Apple ID or email address. Account-based requests can be in various formats such as subpoenas, court orders, warrants, or other valid legal requests. We count each individual request received from each country/region and report the total number of requests received by country/region.

of Accounts Specified in the Requests

The number of accounts specified in the requests. One request may contain one or multiple account identifiers. For example, in a case related to suspected phishing, law enforcement may seek information related to several accounts in a single request. We count the number of accounts identified in each request, received from each country/region, and report the total number of accounts specified in requests received by country/region.

of Account Requests Challenged in Part or Rejected in Full

The number of account-based requests that resulted in Apple challenging the request in part, or rejecting the request in full, based on grounds such as a request does not have a valid legal basis, or is unclear, inappropriate, and/or over-broad. For example, Apple may reject a law enforcement request if it considers the scope of data requested as excessively broad for the case in question. We count each account-based request where we challenge it in part, or reject it in full, and report the total number of such instances by country/region.

of Account Requests Where Only Non-Content Data Provided

The number of account-based requests that resulted in Apple only providing non-content data, such as subscriber, account connections or transactional information, in response to a valid legal request. We count each account-based request where we provide only non-content data and report the total number of such instances by country/region.

of Account Requests Where Content Data Provided

The number of account-based requests that resulted in Apple providing content data, such as stored photos, email, iOS device backups, contacts or calendars, in response to a valid legal request. We count each account-based request where we provide content data and report the total number of such instances by country/region.

% of Account Requests Where Data Provided

The percentage of account-based requests that resulted in Apple providing either non-content and/or content data. We calculate this based on the number of account-based requests that resulted in Apple providing data (including both non-content and content) per country/region, compared to the total number of account-based requests Apple received from that country/region.



Table 4: Worldwide Government Account Preservation Requests January 1 - June 30, 2020

Table 4 provides information regarding account preservation requests received. Under the U.S. Electronic Communications Privacy Act (ECPA) government agencies may request Apple to preserve users' account data by performing a one-time data pull of the requested existing user data available at the time of the request for 90 days (up to 180 days if Apple receives a renewal request). Examples of such requests are where law enforcement agencies suspect an account may have been used unlawfully or in violation of Apple's terms of service, and request Apple to preserve the account data while they obtain legal process for the data.

Country or Region ¹	# of Account Preservation Requests Received	# of Accounts Specified in the Requests	# of Accounts Where Data Preserved
Asia Pacific			
Australia	11	24	19
New Zealand	2	2	2
Singapore	1	1	1
Asia Pacific Total	14	27	22
Europe, Middle East, India, Africa			
Belgium	3	6	5
Finland	5	15	12
France	2	2	2
Germany	12	32	18
India	2	23	18
Ireland	4	12	8
Luxembourg	2	5	5
Netherlands	5	11	4
Norway	1	1	1
Poland	1	1	1
Spain	1	1	1
Sweden	6	7	7
Switzerland	1	2	2
Ukraine	2	2	2
United Kingdom	42	74	57
Europe, Middle East, India, Africa Total	89	194	143
Latin America			
Argentina	3	3	3
Brazil	127	578	369
Chile	1	3	1
Latin America Total	131	584	373
North America			
Canada	22	31	26
United States of America	3,495	9,164	6,295
North America Total	3,517	9,195	6,321
Worldwide Total	3,751	10,000	6,859

¹Only countries / regions where Apple received account preservation requests during report period January 1 - June 30, 2020, are listed.

of Account Preservation Requests Received The number of account preservation requests received from a government agency. We count each individual request received from each country/region and report the total number of requests received by country/region.

of Accounts Specified in the Requests The number of accounts specified in the requests. One request may contain one or multiple account identifiers. For example, in a case related to suspected illegal activity, law enforcement may request Apple to preserve information related to several accounts in a single request. We count the number of accounts identified in each request, received from each country/region, and report the total number of accounts specified in requests received by country/region.

of Accounts Where Data Preserved The number of accounts that resulted in Apple preserving data in response to a valid preservation request. We count the number of accounts in each request where data was preserved and report the total number of accounts for which data was preserved by country/region.



Table 5: Worldwide Government Account Restriction/Deletion Requests January 1 - June 30, 2020

Table 5 provides information regarding account restriction/deletion requests received. Examples of such requests are where law enforcement agencies suspect an account may have been used unlawfully or in violation of Apple's terms of service, and request Apple to restrict or delete the account. For requests seeking to restrict/delete a customer's Apple ID, Apple requires a court order (including conviction or warrant) demonstrating that the account to be restricted/deleted was used unlawfully, except in situations where the case has been verified by Apple to relate to child endangerment.

Country or Region ¹	# of Account Restriction/ Account Deletion Requests Received	# of Accounts Specified in the Requests	# of Requests Rejected/ Challenged Where No Action Taken	# of Requests Where Account Restricted	# of Requests Where Account Deleted
Asia Pacific					
Australia	1	1	1	0	0
Asia Pacific Total	1	1	1	0	0
Europe, Middle East, India, Africa					
Austria ²	1	1	0	0	0
Germany	1	1	1	0	0
Sweden	1	1	1	0	0
Switzerland	1	1	1	0	0
Europe, Middle East, India, Africa Total	4	4	3	0	0
North America					
Canada ²	2	2	0	1	0
Mexico	1	1	1	0	0
United States of America	10	17	0	10	0
North America Total	13	20	1	11	0
Worldwide Total	18	25	5	11	0

¹Only countries / regions where Apple received account restriction/deletion requests during report period January 1 - June 30, 2020, are listed.

of Account Restriction/Account Deletion Requests Received

The number of requests received from a government agency seeking to restrict or delete a customer's Apple account. We count each individual request received from each country/region and report the total number of requests received by country/region.

of Accounts Specified in the Requests

The number of accounts specified in the requests. One request may contain one or multiple account identifiers. For example, in a case related to possession or distribution of illegal material, law enforcement may request Apple to restrict or delete several accounts in a single request. We count the number of accounts identified in each request, received from each country/region, and report the total number of accounts specified in requests received by country/region.

of Requests Rejected/ Challenged Where No Action Taken

The number of account restriction/deletion requests that resulted in Apple challenging or rejecting the request based on grounds such as a request does not have a valid legal basis, or is unclear, inappropriate, and/or over-broad, or where it is not accompanied by a court order (including conviction or warrant) demonstrating that the account to be restricted/deleted was used unlawfully; and where no action was taken by Apple. We count each account restriction/deletion request where we challenge or reject it and report the total number of such instances by country/region.

of Requests Where Account Restricted

The number of requests where Apple determined the request and order sufficiently demonstrated the account to be restricted was used unlawfully and we proceeded with restriction. We count each request where we proceeded with account restriction and report the total number of such instances by country/region.

of Requests Where Account Deleted

The number of requests where Apple determined the request and order sufficiently demonstrated the account to be deleted was used unlawfully and we deleted the Apple account. We count each request where we deleted an account and report the total number of such instances by country/region.

² Request received where Apple had no results for the account identified in the request.



Table 6: Worldwide Government Emergency Requests January 1 - June 30, 2020

Table 6 provides information regarding emergency requests received. Under the U.S. Electronic Communications Privacy Act (ECPA) government agencies may request Apple to voluntarily disclose information, including customer information and contents of communications, to a government entity if Apple believes in good faith that an emergency involving imminent danger of death or serious physical injury to any person requires such disclosure without delay. International agencies may make similar requests to Apple under applicable local law. Examples of such requests are where a person may be missing and law enforcement believes the person may be in danger. Emergency requests generally seek details of customers' connections to Apple services.

Country or Region ¹	# of Emergency Requests Received	# of Requests Rejected/ Challenged & No Data Provided	# of Emergency Requests Where No Data Provided	# of Emergency Requests Where Data Provided	% of Emergency Requests Where Data Provided
Asia Pacific					
Australia	11	1	2	8	73%
Japan	10	0	0	10	100%
Singapore	1	0	0	1	100%
Taiwan	10	0	0	10	100%
Asia Pacific Total	32	1	2	29	91%
Europe, Middle East, India, Africa					
Austria	6	0	3	3	50%
Czech Republic	1	0	0	1	100%
France	11	0	0	11	100%
Germany	22	0	3	19	86%
India	4	0	1	3	75%
Iraq	1	0	0	1	100%
Israel	2	0	0	2	100%
Italy	4	0	0	4	100%
Lithuania	1	0	0	1	100%
Netherlands	8	0	1	7	88%
Nigeria	1	0	0	1	100%
Norway	3	0	0	3	100%
Poland	4	0	0	4	100%
Portugal	1	0	0	1	100%
Spain	1	0	0	1	100%
Switzerland	19	0	4	15	79%
Turkey	1	0	0	1	100%
United Kingdom	333	22	27	284	85%
Europe, Middle East, India, Africa Total	423	22	39	362	86%
Latin America					
Brazil	7	0	0	7	100%
Chile	3	0	0	3	100%
Latin America Total	10	0	0	10	100%
North America					
Canada	62	0	5	57	92%
Mexico	3	0	0	3	100%
United States of America	240	2	17	221	92%
North America Total	305	2	22	281	92%
Worldwide Total	770	25	63	682	89%

 $^{^{1}}$ Only countries / regions where Apple received emergency requests during report period January 1 - June 30, 2020, are listed.



of Emergency Requests Received

The number of emergency requests received from a government agency. We count each individual request received from each country/region and report the total number of requests received by country/region.

of Requests Rejected/Challenged & No Data Provided

The number of emergency requests that resulted in Apple challenging or rejecting the request based on grounds such as a request is unclear, inappropriate, or fails to demonstrate that it relates to an emergency circumstance; and where no data was provided. We count each emergency request where we challenge or reject it and report the total number of such instances by country/region.

of Emergency Requests Where No Data Provided

The number of emergency requests that resulted in Apple providing no data. For example, instances where there was no responsive data. We count each emergency request where we do not provide data and report the total number of such instances by country/region.

of Emergency Requests Where Data Provided

The number of emergency requests that resulted in Apple providing data, such as connections to Apple services, subscriber or transactional information, or in certain instances customers' iCloud content, such as stored photos, email, iOS device backups, contacts or calendars, in response to a valid emergency request. We count each emergency request where we provide data and report the total number of such instances by country/region.

% of Emergency Requests Where Data Provided

The percentage of emergency requests that resulted in Apple providing data. We calculate this based on the number of emergency requests that resulted in Apple providing data per country/ region, compared to the total number of emergency requests Apple received from that country/ region.



Table 7: United States Government National Security Requests January 1 - June 30, 2020

Table 7 provides information regarding United States national security requests that Apple received for customer data, including orders received under the Foreign Intelligence Surveillance Act ("FISA") and National Security Letters ("NSLs"). To date, Apple has not received any orders for bulk data.

We report national security requests received for Apple users/accounts (NSLs and orders received under FISA) within ranges permissible by law pursuant to the USA FREEDOM Act of 2015 ("USA Freedom"). In order to report FISA non-content and content requests in separate categories, Apple is required by law to delay reporting by 6 months and report in bands of 500. Though we want to be more specific, this is currently the range permitted under USA Freedom for reporting this level of detail regarding national security requests. Apple responds to National Security FISA content requests with information obtained from iCloud. Under the law, Apple cannot further disclose what information or data may be sought through these requests.

National Security Request Type	# of Requests Received	# of Users/Accounts
FISA Non-Content Requests	0 - 499	11,000 - 11,499
FISA Content Requests	0 - 499	20,500 - 20,999
National Security Letters	1 - 499	1 - 499
National Security Letters where non-disclosure order lifted	1	

The below table identifies the National Security Letters received during this reporting period where the non-disclosure orders have been lifted and public disclosure is permitted. See <u>Apple's Transparency website</u> for redacted PDFs of these National Security Letters.

National Security Letter #	Issue Date	Non-Disclosure Order End Date
NSL-20-508552	5/14/20	2/5/21

National Security Request Type

FISA Non-Content & Content Requests: FISA Court issued orders for non-content or content data. Non-content data is data such as subscriber or transactional information and connection logs. Content data is data such as stored photos, email, iOS device backups, contacts or calendars.

National Security Letters: Federal Bureau of Investigation issued requests for non-content data in national security investigations. Non-content data is data such as subscriber data. Apple does not produce transactional information and connection logs in response to National Security Letters.

of Requests Received

The number of United States National Security requests received. We count each individual order and National Security Letter received for Apple users/accounts and report the total number of orders and National Security Letters received within bands/ranges permissible by law. Pursuant to USA Freedom, to report the number of non-content and content orders received, we are limited to providing this data in bands of 500.

of Users/Accounts

We count the number of users/accounts in each request received for which Apple has data and report the total number of users/accounts within bands permissible by law. Pursuant to USA Freedom, we are limited to providing this data in bands of 500.

National Security Letter

Government-issued reference number assigned when a National Security Letter is approved and signed by a Federal Bureau of Investigation Special Agent in Charge.

Issue Date

Date the National Security Letter was approved and signed by the Special Agent in Charge.

Non-Disclosure Order End Date

Date where a non-disclosure order for a specific National Security Letter is lifted and public disclosure of the National Security Letter is permitted.



Tables 8, 9, 10: United States Government Requests by Legal Process Type January 1 - June 30, 2020

Tables 8, 9, and 10 provide information regarding United States requests by legal process type. Legal process types can be Search Warrants, Wiretap Orders, Pen Register/Trap and Trace Orders, Other Court Orders, or Subpoenas.

Table 8: United States Government Device Requests by Legal Process Type

Table 8 provides information regarding the types of legal process Apple received as Device Requests.

# of Device Requests	Search Warrants	Wiretap Orders	Pen Register/Trap & Trace Orders	Other Court Orders	Subpoenas
4,641	646	N/A	7	153	3,835
% of Total (100%)	14%	-	~0%	3%	83%

Table 9: United States Government Financial Identifier Requests by Legal Process Type

Table 9 provides information regarding the types of legal process Apple received as Financial Identifier Requests.

# of Financial Identifier Requests	Search Warrants	Wiretap Orders	Pen Register/Trap & Trace Orders	Other Court Orders	Subpoenas
621	96	N/A	0	48	477
% of Total (100%)	15%	-	0%	8%	77%

Table 10: United States Government Account Requests by Legal Process Type

Table 10 provides information regarding the types of legal process Apple received as Account Requests.

# of Account Requests	Search Warrants	Wiretap Orders	Pen Register/Trap & Trace Orders	Other Court Orders	Subpoenas
5,861	2,893	0	61	455	2,452
% of Total (100%)	49%	0%	1%	8%	42%



of Device/ Financial Identifier/ Account Requests

The total number of United States government requests Apple received by request type (Device, Financial Identifier, and Account). We count each individual request received from the United States by request type and report the total number of requests received by request type.

Search Warrants

A search warrant is a judicial document used in a criminal case authorizing law enforcement officers to search a person or place to obtain evidence. The Fourth Amendment requires that law enforcement officers obtain search warrants by submitting affidavits and other evidence to a judge or magistrate to meet a burden of proof that a search will yield evidence related to a crime. The judge or magistrate will issue the warrant if satisfied that the law enforcement officers have met the burden of proof. For customer content, Apple requires a search warrant issued upon a showing of probable cause in order to provide content.

Wiretap Orders

A wiretap order is a specific type of court order used in a criminal case that authorizes law enforcement officers to obtain contents of communications in real-time. A Title III wiretap order includes requirements that law enforcement officers make an application and furnish evidence to a judge or magistrate to demonstrate there is probable cause to believe that interception of communications will yield evidence related to a particular crime, there is probable cause to believe that an individual has committed or is about to commit a particular crime and must specifically identify the individual/target whose communications are to be intercepted. A statement must also be included as to whether other investigatory measures have been tried and failed or are unlikely to succeed. If satisfied that the requirements have been met, the judge or magistrate will issue the wiretap order. A wiretap order allows the government to obtain content on a forward-looking basis for a specific limited period of time as opposed to stored historical content. Apple can intercept users' iCloud email communications upon receipt of a valid Wiretap Order. Apple cannot intercept users' iMessage or FaceTime communications as these communications are end-to-end encrypted.

Pen Register/Trap & Trace Orders

A pen register or trap and trace order is a specific type of court order used in a criminal case authorizing law enforcement officers to obtain headers of electronic communications and other non-content data in real-time. A pen register order requires law enforcement officers to make a statement of the offense to which the pen register relates and certify the information likely to be obtained is relevant/material to an ongoing criminal investigation. The legal standard for obtaining a pen register order is lower than what is required for a search warrant or a wiretap order. A pen register order allows the government to obtain non-content data on a forward-looking basis for a specific limited period of time as opposed to stored historical information. A pen register order can be combined with a court order/warrant for historical records; in such instances, we report the process type as pen register/trap and trace order.

Other Court Orders

A court order is a document issued by a judge or magistrate directing a person or entity to comply with the order. An order may be issued in either a criminal or civil case. Government agencies applying for an order in a criminal case must generally present facts and evidence to a judge or magistrate showing there are reasonable grounds to believe that the information sought is relevant and material to an ongoing criminal investigation or similar legal standard. Non-content data such as subscriber and transaction information can be provided in response to a court order.

Subpoenas

A subpoena or equivalent legal process request (e.g. petition or summons) is a document issued by a government agency or court directing a person or entity to comply with requests for information. Local, state and federal government agencies may issue subpoenas. Under many jurisdictions, a judge or magistrate is not required to review a subpoena before it is issued. Accordingly, the subpoena has the lowest threshold for burden of proof. A subpoena may be issued in either a criminal or civil case. Non-content data such as device, subscriber and connection information can be provided in response to a subpoena.

% of Total

The percentage of requests by Legal Process Type. We calculate this based on the number of respective Legal Process Types compared to the respective total number of Device/Financial Identifier/Account Requests received by Apple.



Table 11: United States Private Party Requests for Information January 1 - June 30, 2020

Table 11 provides information regarding United States private party (non-government) requests for information. Examples of such requests are where private litigants are involved in either civil or criminal proceedings. Apple complies with these requests insofar as we are legally required to do so.

# of Private Party Requests	# of Requests Rejected/ Challenged & No Data Provided	# of Requests Where No Data Provided	# of Requests Where Data Provided
221	47	126	48
% of Total (100%)	21%	57%	22%

of Private Party Requests

The number of requests received from private parties (non-government) in the United States seeking customer data related to specific devices, financial identifiers and/or accounts. We count each individual request received from private parties and report the total number of requests received.

of Requests Rejected/ Challenged & No Data Provided

The number of private party requests that resulted in Apple challenging or rejecting the request based on grounds such as a request does not have a valid legal basis, or is unclear and/or over-broad; and where no data was provided. We count each private party request where we challenge or reject it in full, and report the total number of such instances.

of Requests Where No Data Provided

The number of private party requests that resulted in Apple providing no data. For example, where there was no responsive data. We count each instance where we do not provide data in response to a private party request and report the total number of such instances.

of Requests Where Data Provided

The number of private party requests that resulted in Apple providing data in response to valid legal process or subscriber consent. We count each instance where we provide data in response to a private party request and report the total number of such instances.

% of Total

The percentages are calculated based on the number of the respective response types compared to the total number of private party requests received by Apple.



Table 12: United States Private Party Requests for Account Restriction/Deletion January 1 - June 30, 2020

Table 12 provides information regarding United States private party (non-government) requests for Apple account restriction/ deletion. Examples of such requests are where private litigants are involved in either civil or criminal proceedings, and requests for Apple to restrict/delete an account may arise. For requests seeking to restrict/delete a customer's Apple ID, Apple requires a court order. Apple complies with these requests insofar as we are legally required to do so.

# of Account Restriction/	# of Accounts	# of Requests Rejected/	# of Account Restriction	# of Account Deletion
Account Deletion Requests	Specified in	Challenged Where No	Requests Where	Requests Where
Received	the Requests	Action Taken	Account Restricted	Account Deleted
1	3	0	1	0

of Account Restriction/Account Deletion Requests Received

The number of requests received from private parties (non-government), such as participants in a civil or family law case, seeking to restrict or delete a customer's Apple ID. We count each individual request received from private parties and report the total number of requests received.

of Accounts Specified in the Requests

The number of accounts specified in the requests. One request may contain one or multiple account identifiers. For example, in a case related to multiple shared accounts, a private party may request Apple to restrict or delete several accounts in a single request. We count the number of accounts identified in each request received from private parties and report the total number of accounts specified in requests received.

of Requests Rejected/Challenged Where No Action Taken

The number of account restriction/deletion requests that resulted in Apple challenging or rejecting the request based on grounds such as a request does not have a valid legal basis, or is unclear, inappropriate, and/or over-broad, or where it is not accompanied by a court order demonstrating the grounds upon which the account is to be restricted/deleted; and where no action was taken by Apple. We count each account restriction/deletion request where we challenge or reject it and report the total number of such instances.

of Account Restriction Requests Where Account Restricted

The number of account restriction requests where Apple determined the request and order sufficiently demonstrated the grounds upon which the specified account was to be restricted; and we proceeded with the requested restriction. We count each account restriction request where we proceeded with restriction and report the total number of such instances.

of Account Deletion Requests Where Account Deleted

The number of account deletion requests where Apple determined the request and order sufficiently demonstrated the grounds upon which the specified account was to be deleted; and we deleted the Apple account. We count each account deletion request where we deleted an account and report the total number of such instances.



Table 13: Worldwide Government App Store Takedown Requests - Legal Violations January 1 - June 30, 2020

Table 13 provides information regarding requests from government authorities to remove apps from the App Store based on alleged/suspected violations of local law. Examples of such requests are where law enforcement or regulatory agencies suspect an app may be unlawful or relate to/contain unlawful content. Apple complies with these requests insofar as we are legally required to do so.

(App removals were limited to requesting country/region App Store storefront, except if indicated otherwise in the footnotes)

Country or Region ¹	# of Legal Violation Takedown Requests Received	# of Apps Specified in the Requests	# of Requests Objected to in Part or Rejected in Full	# of Requests Where App Removed	# of Apps Removed	# of Appeals Received	# of Appeals Granted	# of Apps Reinstated
Asia Pacific								
China mainland ²	46	152	0	46	152	0	0	0
Sri Lanka ³	1	1	0	1	1	0	0	0
Taiwan ⁴	1	1	0	1	1	1	1	1
Asia Pacific Total	48	154	0	48	154	1	1	1
Europe, Middle East, India, Africa								
Germany ⁵	1	1	0	1	1	0	0	0
India ⁶	2	38	0	2	38	0	0	0
Norway ⁴	1	34	0	1	34	0	0	0
Russia ⁷	3	4	0	3	4	0	0	0
Switzerland ⁴	1	8	0	1	8	0	0	0
Europe, Middle East, India, Africa Total	8	85	0	8	85	0	0	0
Worldwide Total	56	239	0	56	239	1	1	1

¹Only countries / regions where Apple received legal violation removal requests during report period January 1 - June 30, 2020, are listed.

² Requests predominantly related to apps with pornography or other illegal content.

³ Request related to app with illegal content.

⁴ Request related to gaming or gambling app(s) not complying with regulations.

⁵ Request related to app falling to meet medical device law requirements. App removed from the 27 EU member states and the United Kingdom.

⁶ Requests predominantly related to apps identified as state security violations.

⁷ Requests related to apps operating without government license, predominantly gambling apps.



of Legal Violation Takedown Requests Received

The number of requests received from a government agency seeking to take down a third party application offered on the App Store related to alleged/suspected legal violations. We count each individual request received from each country or region and report the total number of requests received by country or region.

of Apps Specified in the Requests

The number of apps specified in the requests verified to be apps available on the App Store. A government agency request may contain one or multiple apps. We count the number of apps identified in each request received from each country or region and report the total number of apps specified in requests received by country or region.

of Requests Objected to in Part or Rejected in Full

The number of app takedown requests related to alleged/suspected legal violations that resulted in Apple objecting to or rejecting the request in part or in full based on grounds such as a request does not have a valid legal basis, or is unclear, inappropriate and/or over-broad, or does not sufficiently demonstrate the legal violation of the app to be removed. We count each App Store takedown request related to alleged/suspected legal violations where we object in part or reject in full and report the total number of such instances by country or region.

of Requests Where App Removed

The number of App Store takedown requests where the request sufficiently demonstrated a valid legal violation and Apple proceeded with removal of app(s) from the App Store. We count each app takedown request related to alleged/suspected legal violations where we proceeded with app removal and report the total number of such instances by country or region.

of Apps Removed

The number of App Store takedown requests where the request sufficiently demonstrated a valid legal violation and Apple proceeded with removal of app(s) from the App Store. We count each app takedown request related to alleged/suspected legal violations where we proceeded with app removal and report the total number of apps removed in such instances by country or region.

of Appeals Received

The number of App Store takedown requests where Apple received notice of an appeal to court or government agency. We count each app takedown appeal related to alleged/suspected legal violations.

of Appeals Granted

The number of App Store takedown requests where Apple received notice of a court or government agency granting an appeal to the takedown request. We count each app takedown appeal granted that related to alleged/suspected legal violations.

of Apps Reinstated

The number of apps reinstated to the App Store due to a court or government agency appeal being granted. We count each app reinstated from app removal related to alleged/suspected legal violations.



Table 14: Worldwide Government App Store Takedown Requests - Platform Policy Violations January 1 - June 30, 2020

Table 14 provides information regarding requests from government authorities to remove apps from the App Store based on alleged/suspected violations of App Store platform policies. Examples of such requests are where law enforcement or regulatory agencies suspect an app may violate the App Store platform policies or relate to/contain content violating platform policies. Apple complies with these requests where Apple has determined there is an App Store platform policy violation.

(App removals were worldwide)

Country or Region ¹	# of Platform Policy Violation Takedown Requests Received	# of Apps Specified in the Requests	# of Requests Objected to in Part or Rejected in Full	# of Requests Where App Removed	# of Apps Removed	# of Appeals Received	# of Appeals Granted	# of Apps Reinstated
Asia Pacific								
China mainland ²	16	38	0	16	38	0	0	0
Asia Pacific Total	16	38	0	16	38	0	0	0
Europe, Middle East, India, Africa								
Kuwait ³	1	1	0	1	1	0	0	0
Europe, Middle East, India, Africa Total	1	1	0	1	1	0	0	0
Worldwide Total	17	39	0	17	39	0	0	0

¹ Only countries / regions where Apple received platform violation removal requests during report period January 1 - June 30, 2020, are listed.

² Requests related to gambling apps and an app with pornographic content violating App Store Review Guidelines.

³ Request related to an app violating App Store Review Guideline(s).



of Platform Policy Violation Takedown Requests Received

The number of requests received from a government agency seeking to take down a third party application offered on the App Store related to alleged/suspected platform policy violations. We count each individual request received from each country or region and report the total number of requests received by country or region.

of Apps Specified in the Requests

The number of apps specified in the requests verified to be apps available on the App Store. A government agency request may contain one or multiple apps. We count the number of apps identified in each request received from each country or region and report the total number of apps specified in requests received by country or region.

of Requests Objected to in Part or Rejected in Full

The number of app takedown requests related to alleged/suspected platform policy violations that resulted in Apple objecting to or rejecting the request in part or in full based on grounds such as a request does not have a valid legal basis, or is unclear, inappropriate and/or overbroad, or does not sufficiently demonstrate the platform policy violation of the app to be removed. We count each App Store takedown request related to alleged/suspected platform policy violations where we object in part or reject in full and report the total number of such instances by country or region.

of Requests Where App Removed

The number of App Store takedown requests where Apple determined the request sufficiently demonstrated a valid App Store platform policy violation and Apple proceeded with removal of app(s) from the App Store. We count each app takedown request related to alleged/suspected platform policy violations where we proceeded with app removal and report the total number of such instances by country or region.

of Apps Removed

The number of App Store takedown requests where Apple determined the request sufficiently demonstrated a valid App Store platform policy violation and Apple proceeded with removal of app(s) from the App Store. We count each app takedown request related to alleged/suspected platform policy violations where we proceeded with app removal and report the total number of apps removed in such instances by country or region.

of Appeals Received

The number of App Store takedown requests where Apple received notice of an appeal to court or government agency. We count each app takedown appeal related to alleged/suspected platform policy violations.

of Appeals Granted

The number of App Store takedown requests where Apple received notice of a court or government agency granting an appeal to the takedown request. We count each app takedown appeal granted that related to alleged/suspected platform policy violations.

of Apps Reinstated

The number of apps reinstated to the App Store due to a court or government agency appeal being granted. We count each app reinstated from app removal related to alleged/suspected platform policy violations.



Matters of note in this report:

Government requests related to customer data / accounts

Table 1 Worldwide Government Device Requests

China mainland - High number of devices specified in requests predominantly due to tax and customs investigations.

Denmark - High number of devices specified in requests predominantly due to a cargo theft investigation.

Germany - High volume of device requests predominantly due to stolen device investigations.

Hungary - High number of devices specified in requests predominantly due to a tax fraud investigation from Tax and Customs Authorities.

South Africa - High number of devices specified in requests predominantly due to stolen device investigations.

South Korea - High number of devices specified in requests predominantly due to stolen device investigations.

Sweden - High number of devices specified in requests predominantly due to a cargo theft investigation.

United States - High number of devices specified in requests predominantly due to return and repair fraud investigations.

Table 2 Worldwide Government Financial Identifier Requests

China mainland - High number of financial identifiers specified in requests predominantly due to an investigation of unauthorized access to App Store & iTunes Gift Cards.

Germany - High volume of financial identifier requests predominantly due to App Store & iTunes Gift Card and credit card fraud investigations.

Luxembourg - High number of financial identifiers specified in requests predominantly due to App Store & iTunes Gift Cards related to a financial fraud investigation.

Spain - High volume of financial identifier requests predominantly due to App Store & iTunes Gift Card and credit card fraud investigations.

United Kingdom - High number of financial identifiers specified in requests predominantly due to a tax fraud investigation.

United States - High number of financial identifiers specified in requests predominantly due to a trade-in fraud investigation.

Table 3 Worldwide Government Account Requests

Brazil - High volume of account requests predominantly due to court orders where investigation type was not indicated and non-violent crime or drug investigations.

United States - High volume of account requests with no predominant investigation type.

Mutual Legal Assistance Treaty (MLAT) Requests

Requests received from a foreign government pursuant to the MLAT process or through other cooperative efforts with the United States government are included in Apple's transparency report. Apple has been able to determine 6 MLAT requests for information were issued by the United States government in this reporting period. However, this may not be the precise number of MLAT requests received, as in some instances a United States court order or search warrant may not indicate that it is the result of an MLAT request. In instances where the originating country was identified, we count and report the MLAT request under the country of origin. In instances where the originating country was not identified, we count and report the request under the United States of America.



Matters of note in this report:

Government requests related to app removals

Table 13 Worldwide Government App Store Takedown Requests - Legal Violations

China mainland - Requests predominantly related to apps with pornography or other illegal content.

Germany - Request related to app failing to meet medical device law requirements. App removed from the 27 EU member states and the United Kingdom.

India - Requests predominantly related to apps identified as state security violations.

Norway - Request related to gambling apps not complying with regulations.

Russia - Requests related to apps operating without government license, predominantly gambling apps.

Sri Lanka - Request related to app with illegal content.

Switzerland - Request related to illegal gambling apps.

Taiwan - Request related to gaming app not complying with regulations. Developer resolved issue and submitted appeal to agency. Agency provided approval for app to be restored.

Table 14 Worldwide Government App Store Takedown Requests - Platform Policy Violations

China mainland - Requests related to gambling apps and app with pornographic content violating App Store Review Guidelines.

Kuwait - Request related to app violating App Store Review Guideline(s).