Using Apple Watch to estimate Cardio Fitness with VO_2 max

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Overview

With watchOS 7, Apple Watch Series 3 and later use an updated algorithm to estimate a user's cardio fitness level as measured by VO_2 max – the maximum volume of oxygen an individual can extract from inhaled air. This update extends VO_2 max estimates to lower ranges, while also expanding the availability of this metric. Additionally, with watchOS 7.2, users can view how their cardio fitness level is classified based on their age group and sex in the Health app on iPhone, and they can receive a notification if it falls within the low range. This paper provides a detailed understanding of the capabilities of these features, including testing and validation.

Introduction

Definition

VO_2 max is the maximum volume of oxygen an individual can extract from inhaled air and consume via cellular metabolism. As such, VO_2 max is a good overall indicator of cardiorespiratory fitness (CRF), because it incorporates multiple organ systems and is influenced by a number of factors at various points along the pathway, from respiration to end-organ oxygen consumption.[1] VO_2 max values are typically normalised for body mass and reported as millilitres of oxygen per kilogram of body mass in one minute (ml/kg/min). They normally decline with increasing age and, at a population level, differ across biological sexes.[2]

Measurement and Estimation

VO_2 max is measured during cardiopulmonary exercise testing (CPET) – a procedure in which an individual is asked to ride a static bike or ambulate on a treadmill at increasing intensity levels while wearing a face mask, which enables the direct measurement of oxygen in inhaled and exhaled air.[3] In most cases, the volume of oxygen that individuals consume during testing plateaus even though their work increases. This plateau or VO_2 peak is assumed to be, and is referred to as, the VO_2 max, despite a lack of certainty that a true maximum has been achieved.[4]

In practice, VO_2 max or CRF is more commonly estimated from measurements taken during submaximal exertion. This is typically because these tests are less expensive and less time intensive to complete than maximal CPET, they require less exertion from the participant and are more comfortable for them, and significant evidence exists to derive VO_2 max from submaximal exertion.[5]

Utility

CRF, as measured by VO_2 max or the closely related metabolic equivalent (MET) – with 1 MET = ~3.5 ml/kg/min – has, over the last 30 years, been repeatedly shown to be a predictor of all-cause and cardiovascular mortality, as well as cardiovascular events for men and women.[6,7,8] In some studies, CRF was independent of, and more predictive than, well-known risk factors for cardiovascular and all-cause mortality, such as hypertension, obesity and hypercholesterolemia.[9,10,11]

Because of this prognostic utility, members of the medical and scientific community have advocated for the inclusion of measurements of CRF in routine medical practice as adjuncts[12] to, or even in place of, traditional risk models, such as Framingham.[13] This predictive utility also applies outside the general population to disease-specific cohorts, such as individuals with heart failure,[14] and in clinical decision-making related to specific events, such as perioperative management[15,16] and referral for cardiac rehabilitation.[17] In 2016, in response to these and other demonstrations of utility, the American Heart Association (AHA) advocated for the assessment of CRF on a more routine basis, making a case for fitness as a vital sign.[5]

Genetics

Genetics are strongly correlated with an individual's VO_2 max and changes in VO_2 max in response to exercise. At baseline, genetic factors are believed to determine approximately 50 to 70 per cent of the VO_2 max differences observed between individuals[18,19] and approximately 20 to 60 per cent of the variation in VO_2 max improvements in response to exercise training.[5,20]

Interventions

Improving or maintaining VO_2 max over time is strongly associated with decreased mortality. In a study of over 500 men followed for 11 years, Laukkanen et al. found that for every 1 ml/kg/min increase in VO_2 max, risk of death decreased by 9 per cent.[21] At a study level, high-intensity interval training yields the greatest improvements in VO_2 max.[22,23,24] Over the course of programmes that ranged from 6 to 12 weeks, improvements in VO_2 max were generally around 5 to 10 per cent (in ml/kg/min). It's important to note that decreases in VO_2 max with decreased activity or inactivity have been reported to be of a similar or greater magnitude (up to a 27 per cent decline) over far shorter time periods (2-3 weeks).[25,26] Increasing physical activity in the absence of improvements to VO_2 max doesn't appear to confer the same survival benefit in those whose VO_2 max increases compared with those whose VO_2 max doesn't.[27]

Cardio Fitness on Apple Watch

This paper describes the development and validation of the Cardio Fitness metric – an estimate of VO_2 max using Apple Watch. The intended audience of this paper is researchers, healthcare providers and developers who may be interested in using this estimation in their work, as well as customers who would like to know more about VO_2 max and how it's measured and validated using Apple Watch. Additional information on how to set up and view VO_2 max estimates for Apple Watch can be found here: support.apple.com/en-gb/HT211856.

Metric Description

Cardio fitness on Apple Watch is an estimation of a user's VO_2 max, in ml/kg/min, which is made by measuring a user's heart rate response to physical activity. Updates to the algorithm used to estimate VO_2 max in watchOS 7 extend estimates to lower ranges of cardio fitness (14 to 60 ml/kg/min) for users with Apple Watch Series 3 or later. A view of VO_2 max in the Health app in iOS 14 under Cardio Fitness is shown in Figure 1. A VO_2 max value may be generated after walking, running or hiking outdoors on relatively flat ground (i.e. graded less than a 5 per cent incline or decline) with adequate GPS, heart rate signal quality and exertion (approximate increase of 30 per cent of the range from resting heart rate to max). A user's first such workout won't generate an estimate, and a user needs to have worn Apple Watch for one day before a first estimate can be generated.

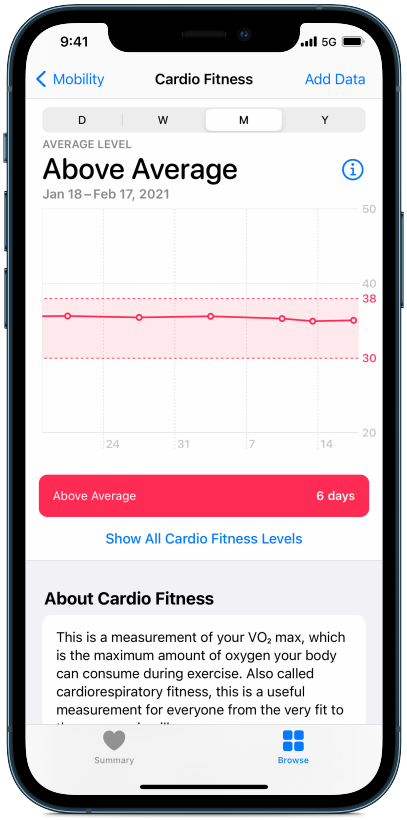

Figure 1: Cardio Fitness in the Health app in iOS 14

These estimates of VO_2 max are based on submaximal predictions of VO_2 max rather than peak VO_2. As such, users don't need to achieve peak heart rate to receive an estimate. However, a notion of peak heart rate is needed. Because of this, users who take medication that may reduce their peak heart rate can indicate that they're taking this medication in Health Details in the Health app to enable more accurate VO_2 max estimates (see Figure 2).

With a feature introduced in iOS 14.3, users aged 20 and above have the option of being notified if their cardio fitness level, as measured by estimated VO_2 max, is consistently and confidently low enough to suggest a risk of long-term health issues or current limitation in daily activities. For users aged 20-59, the threshold for notification is the lowest quintile for sex and age by decade, as determined by the Fitness Registry and Importance of Exercise National Database.[2] For users aged 60 and above, absolute VO_2 max thresholds of 18 and 15 ml/kg/min are used for males and females, respectively, based on data that suggests these are the thresholds for independent living at the extremes of age in both sexes.[28] Users who want to receive Low Cardio Fitness notifications must opt in, which requires completing an onboarding experience in the Health app that describes the feature; collects information, such as age, sex and relevant medication, which is needed to provide an accurate alert; outlines factors that can lower your cardio fitness; and provides optional educational content describing the importance of VO_2 max and potential causes of a notification (see Figure 3).

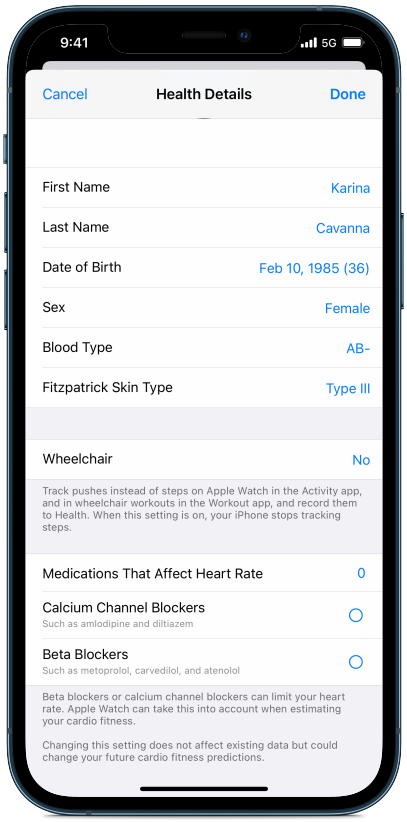

Figure 2: Medication that affects heart rate can be noted in Health Details in the Health app in iOS 14

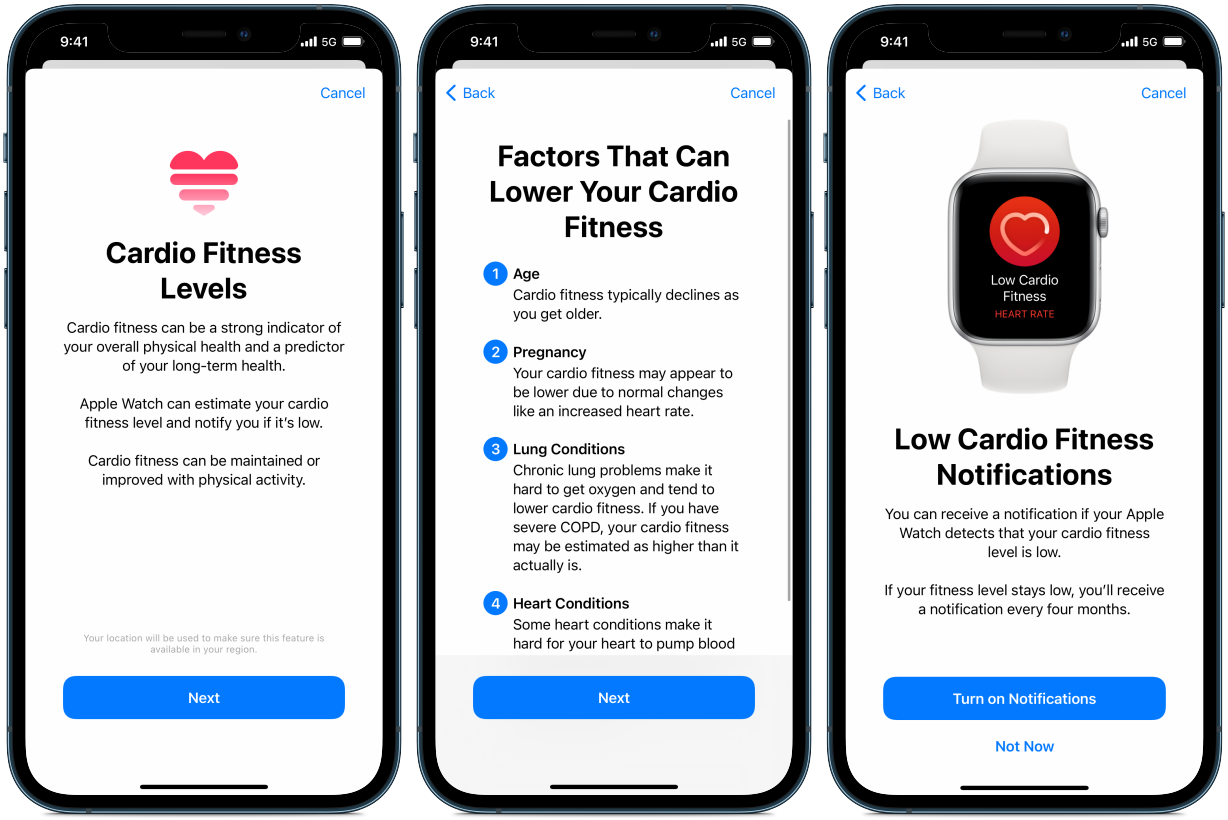

Figure 3: Onboarding for Low Cardio Fitness notifications in the Health app in iOS 14

Development

Study Design

Apple collected data for the design and validation of the VO_2 max metric across multiple studies, approved by an institutional review board (IRB), involving participants who consented to the collection and use of their data for this purpose.

Study participants completed VO_2 max tests, VO_2 submaximal tests or both – referred to as cardiopulmonary exercise testing (CPET) – while wearing Apple Watch Series 4. A variety of CPET test protocols were used, including both treadmill and cycle ergometers. Each participant completed up to six CPETs over the course of the studies, with at least 10 days between consecutive tests to ensure participants had sufficient time to rest between tests and adequate periods for data collection before and after individual CPETs. CPET data was used to verify that the protocol was completed correctly and that participants reached at least 60 per cent of their predicted maximum heart rate. Tests for which there were a gas exchange abnormality, low-quality heart rate signal, detected arrhythmia, reported pain or biomechanical inefficiency were excluded from all further analyses. Tests that passed the verification steps were used in algorithm development. To obtain the reference VO_2 max of each participant, linear projections were made using heart rate and VO_2 in the submaximal range to determine VO_2 max based on age-predicted maximum heart rate. Predicted maximum heart rate was lowered for users on medication that limits heart rate, such as beta blockers, according to published predictions.[29]

In addition to wearing Apple Watch during invigilated CPETs, participants wore Apple Watch and carried iPhone during their day-to-day activities throughout the course of the studies. These activities included workouts logged by participants. Data from a variety of Apple Watch sensors (photoplethysmograph, accelerometer, gyroscope, barometer and GPS) was collected during this period and used in VO_2 max algorithm design.

A subset of study participants was withheld from all algorithm design data to verify algorithm accuracy and guard against overfitting. Algorithm performance was calculated by comparing the last valid VO_2 max estimate on Apple Watch with the average of submaximal projections from all curated CPETs for each participant unless otherwise specified.

Statistical Methods

The validity of VO_2 max on Apple Watch was computed as the mean and standard deviation of errors between the last valid mean VO_2 max estimate on Apple Watch and the mean submaximal VO_2 max projection from all curated CPETs for each participant. Reliability, reported as intraclass correlation coefficient (ICC), was evaluated by calculating the absolute agreement per participant between the last valid VO_2 max estimate on Apple Watch and a previous VO_2 max on Apple Watch estimated at least 28 days prior. The consistency of VO_2 max on Apple Watch is expressed as the median and 90th percentile standard deviation per participant of all VO_2 max estimates on Apple Watch for participants who had at least five estimates. Finally, the availability of VO_2 max on Apple Watch is computed in two ways: as the percentage of all outdoor pedestrian workouts longer than 5.75 minutes from all participants yielding a VO_2 max estimate on Apple Watch, and as the percentage of participants who completed at least 10 outdoor pedestrian workouts longer than 5.75 minutes who received at least one VO_2 max estimate on Apple Watch after 10 workouts.

Results

Baseline characteristics of the participants whose data was used for design and validation are summarised in Table 1.

Table 1. Participant characteristics

	Design (*N* = 534)	Validation (*N* = 221)
Gender – number (%)		
Female	191 (36)	94 (43)
Male	343 (64)	127 (57)
Age – years* (mean ± SD)	**53 ± 18**	**55 ± 17**
Age distribution – number (%)		
<45 years	207 (39)	74 (33)
45-54 years	67 (13)	26 (12)
55-65 years	57 (11)	36 (16)
>65 years	203 (38)	85 (38)
Reference VO_2 max – ml/kg/min (mean ± SD)	**31.7 ± 10.6**	**29.7 ± 10.5**
Length of observation – days (mean ± SD)	**441 ± 137**	**390 ± 138**
Comorbidities – number (%)		
Arthritis	51 (10)	17 (8)
Diabetes	38 (7)	23 (10)
History of stroke	9 (2)	5 (2)
Coronary artery disease	41 (8)	24 (11)
History of myocardial infarction	34 (6)	16 (7)
COPD	4 (1)	3 (1)
Heart failure	10 (2)	5 (2)
Hypertension	121 (22)	47 (21)
Smoking status (cigarettes) – number (%)		
Current smoker	5 (1)	1 (1)
Former smoker	63 (12)	37 (17)
Never smoked	300 (56)	129 (58)
Smoking status unknown	166 (31)	54 (24)
BMI category – number (%)		
Underweight (BMI < 18.5)	1 (<1)	2 (<1)
Normal weight (18.5 ≤ BMI < 25.0)	215 (40)	99 (45)
Overweight (25.0 ≤ BMI < 30.0)	220 (41)	77 (35)
Obese (BMI ≥ 30.0)	98 (18)	43 (19)

*Based on year of birth.

Algorithm performance for the design and validation data sets is reported in Table 2. A plot of reference (average CPET-derived VO_2 max per user compared with final Apple Watch-estimated VO_2 max) for the design and validation participants is shown in Figure 4. Algorithm performance was assessed on data collected during workouts. For a subset of participants (132 design and 62 validation), VO_2 max was also estimated outside workouts during periods of outdoor walking to assess the ability to estimate VO_2 max when a workout hasn't been initiated on Apple Watch. In these users, non-workout estimates were an average of 0.32 ml/kg/min higher than workout estimates in the design group. No significant difference was detected between workout and non-workout estimates in the validation group.

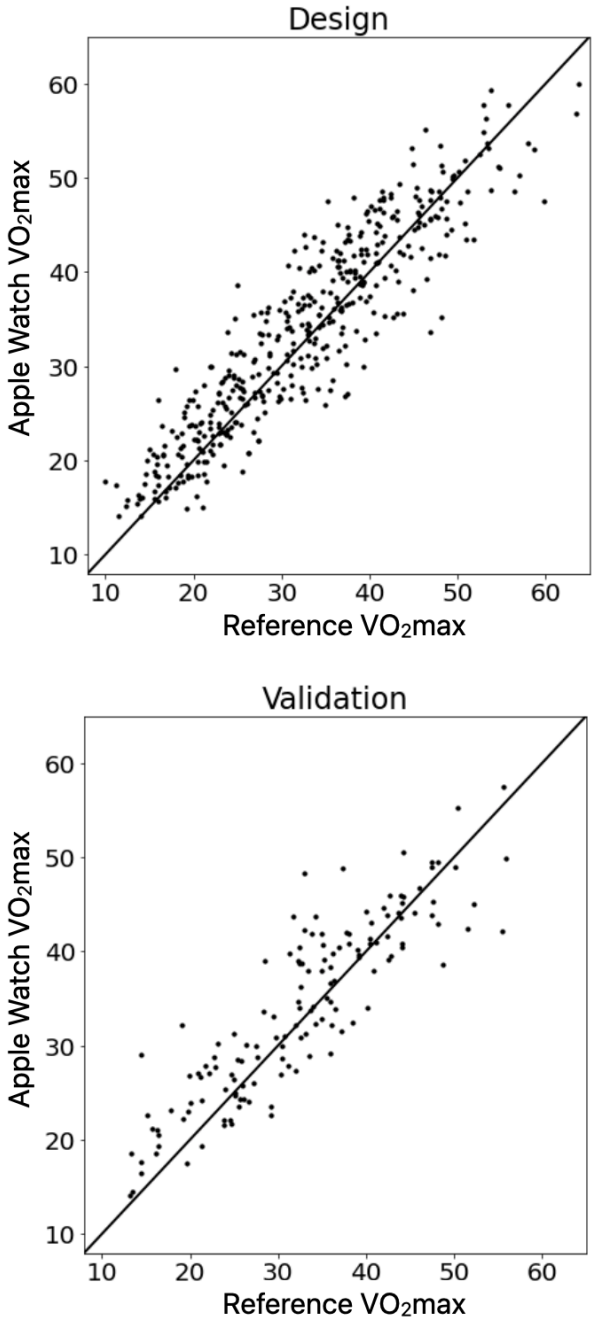

Figure 4: Reference compared with estimated VO_2 max (ml/kg/min) for participants in the design and validation sets

Table 2. VO_2 max performance

Metric	Description	Design (*N* = 534)	Validation (*N* = 221)
Validity	Error (mean estimated VO_2 max – mean projected submaximal reference) – ml/kg/min (mean ± SD)	1.2 ± 4.4	1.4 ± 4.7
Reliability	ICC A-1 comparison of last VO_2 max estimate using data and metadata from only that session with a VO_2 max estimate more than 28 days previous using only data and metadata from that session – ICC [confidence interval]	0.89 [0.86, 0.91]	0.86 [0.80, 0.90]
Consistency	SD of pVO_2 max per user – ml/kg/min (% of pVO_2 max)		
	Median	1.2 (3.7%)	1.2 (3.4%)
	90th percentile	2.6 (7.6%)	2.6 (7.2%)
Availability	Percentage of outdoor pedestrian workouts longer than 5.75 minutes receiving an estimate	79%	78%
	Percentage of participants who completed at least 10 outdoor pedestrian workouts longer than 5.75 minutes and received at least one estimate in their first 10 workouts	93%	93%

Discussion

Assessment of CRF using VO_2 max has received increasing attention as a means of risk stratification, with some advocating for its consideration as a vital sign.[5] In practice and despite demonstrated benefits in application, objective measurement of CRF using CPET remains infrequent in part due to expense, participant burden and limited acceptance as standard of care across multiple specialities.[30] Accurate estimation of a user's VO_2 max through wearable technology could expand CRF screening to a large segment of the population at lower cost, and it may enable remote monitoring of patients between clinical visits in some programmes, such as cardiac rehabilitation. Such accurate and available estimates of VO_2 max may also be used to guide risk stratification and response to programmes designed to reduce risk, such as preoperative assessment and rehabilitation.[31]

The improved algorithm for VO_2 max estimation on Apple Watch described here was designed for and validated in a population with reference VO_2 max covering a wide range of cardio fitness levels, as shown in Figure 4. Nearly half the study participants were over the age of 55, and approximately 10 per cent had known coronary artery disease. Racial and ethnic diversity in the study population didn't approximate the US population. However, heart rate – a key input to VO_2 max estimates on Apple Watch – has been shown to be consistently accurate across multiple skin tones in both internal and external studies.[32]

Extension of VO_2 max estimates to lower ranges in watchOS 7, combined with estimation outside workouts, increases the availability of this metric for individuals with low cardio fitness. Over 90 per cent of participants who completed at least one outdoor walk, outdoor run or hiking workout longer than three minutes, and tracked these using the Workout app, received at least one estimate of VO_2 max on Apple Watch. Increasing the number of outdoor pedestrian workouts will increase the likelihood and accuracy of a VO_2 max estimate on Apple Watch.

VO_2 max estimation by Apple Watch is accurate and reliable relative to commonly used methods of measuring VO_2 max, with an average error of less than 1 MET and an ICC more than 0.85. The accuracy of VO_2 max on Apple Watch approaches the accuracy of the reference; submaximal exercise testing protocols have previously been measured to have approximately zero mean error and a standard error of 1 MET.[33] In terms of test-retest reliability, VO_2 max on Apple Watch has an ICC of 0.87 in the validation data compared with 0.75 in submaximal treadmill testing.[34]

With the new algorithm, VO_2 max estimates for users who take medication that limits heart rate – such as beta blockers and calcium channel blockers – and report the medication in the Health app on iPhone paired to Apple Watch should be more accurate relative to estimates made in previous versions of iOS and watchOS. The handling of these medications doesn't differentiate dosage, cardioselectivity or intrinsic sympathomimetic activity of some beta blockers, all of which could be potentially meaningful inputs, but have been omitted in favour of usability. With this approach, the estimated error for users in the validation cohort who take beta blockers and calcium channel blockers decreased from 11.8 ± 4.0 ml/kg/min to 1.6 ± 3.1 ml/kg/min when the Health Details settings appropriately reflected their medication usage. Users who take medication that limits heart rate but don't enter this information in the Health app will receive higher-than-actual estimates; users who take low or as-needed doses of these medications that don't consistently reduce max heart rate (for example, propranolol for performance anxiety) are likely to receive more accurate estimates if they don't enter this information. Given the highly prevalent usage of these medications,[35] accounting for them appropriately is critical to estimating VO_2 max accurately, especially for older users.

In some conditions, a user's VO_2 max estimate may be inaccurate. Users with an incorrect age, sex or weight entered in the Health app may have consistently inaccurate VO_2 max estimates. Normal physiological changes associated with pregnancy may lead to inaccurate estimates. Individual estimates may be low if sensor data is recorded during behaviours that increase the user's work in ways that Apple Watch can't detect accurately. Common instances of such behaviours include carrying significant weight beyond body weight, such as a heavy rucksack or child, and walking or running on ground that increases the user's work, such as sand. Similarly, using an assistive device or pushing a pushchair may decrease availability or accuracy of VO_2 max estimates on Apple Watch. Factors that increase heart rate, such as dehydration, caffeine intake, extreme heat or recent transition to high altitudes, may also lead to underestimates. VO_2 max accuracy on Apple Watch can be increased by performing frequent outdoor pedestrian workouts, by achieving higher exertion during workouts and by wearing Apple Watch consistently throughout the day beyond typical workout sessions.

Users with chronotropic incompetence – a condition where heart rate doesn't increase appropriately to compensate for demand[36] – may receive overestimates of VO_2 max. Chronotropic incompetence is primarily associated with heart failure, which occurs in approximately 30 to 80 per cent (depending on diagnostic criteria) of patients with the condition.[37] It has also been linked to significant proportions of patients with chronic obstructive pulmonary disease (COPD),[38] lupus[39] and other autoimmune conditions.[40]

In addition to chronotropic incompetence, other medical conditions can also decrease the accuracy of VO_2 max estimates on Apple Watch. These include medical conditions or devices that decouple heart rate from movement or exercise (for example, pain, arrhythmias, pacemakers or cardiac-assist devices); medical conditions that severely limit exercise tolerance, preventing patients from reaching heart rates close to their predicted maximum heart rate (for example, peripheral arterial disease); and medical conditions that significantly increase the difficulty of ambulation, such as skeletal or neuromuscular conditions that cause gait inefficiency (for example, multiple sclerosis or cerebral palsy).

Conclusions

With watchOS 7 on Apple Watch Series 3 and later, VO_2 max estimates have been expanded to lower ranges of cardio fitness, while providing users with the option to receive a notification if their cardio fitness level is low for their age and sex. This extended range, along with increased estimate availability and the option for users who are taking medication that limits their heart rate to receive more accurate estimates than were previously available, may increase researchers' and medical professionals' ability to use this metric for tracking fitness in older adults and in the presence of comorbid health conditions.

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